Spectral sequences aren't scary

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 $24 \ {\rm October} \ 2022$ 

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# Outline

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- Core idea
- Five lemma
- Snake lemma
- Balancing Tor/Ext
- Composing derived functors

For simplicity, everything we write will just be modules over a fixed ring R.

Resources: Weibel, Vakil, McCleary.

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The main tool we will use is a **double complex**  $\{E^{pq}\}$ :

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 $\cdots$   $E^{-1,1}$   $E^{0,1}$   $E^{1,1}$   $\cdots$ 
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- $d^{\uparrow} \circ d^{\rightarrow} + d^{\rightarrow} \circ d^{\uparrow} = 0$  (i.e., squares anti-commute)

$$\begin{array}{ccc} E^{p,q+1} & \stackrel{d^{\rightarrow}}{\longrightarrow} & E^{p+1,q+1} \\ \downarrow^{\uparrow} & \text{anti} & \uparrow^{\uparrow} \\ E^{p,q} & \stackrel{d^{\rightarrow}}{\longrightarrow} & E^{p+1,q} \end{array}$$

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Why *anti*-commute?

Given a double complex  $\{E^{pq}\}$  we can construct a (single) complex called the **total complex** Tot  $E^{pq}$ :

$$(\operatorname{Tot} E^{pq})^n := \bigoplus_{p+q=n} E^{pq}$$
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Notice that this is a complex, because

$$(d_{\text{Tot}})^2 = \left(d^{\uparrow} + d^{\rightarrow}\right)^2$$
  
=  $\left(d^{\uparrow}\right)^2 + \left(d^{\uparrow}d^{\rightarrow} + d^{\rightarrow}d^{\uparrow}\right) + (d^{\rightarrow})^2$   
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That's why!

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Can we calculate the cohomology of Tot  $E^{pq}$  just from  $E^{pq}$  itself? Perhaps, in some iterative way where successive steps give better and better approximations of the total cohomology?

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The tool is a **spectral sequence**.

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A spectral sequence (rightward) is a sequence of double complexes  $(_{\rightarrow}E_r^{pq})_{r\in\mathbb{Z}}$ :

$$\rightarrow E_0^{pq}, \rightarrow E_1^{pq}, \rightarrow E_2^{pq}, \dots$$

which we call **pages**.

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This pre-subscript " $\rightarrow$ " nonsense is just notational to distinguish from a different filtration to come, and we'll drop it basically immediately. Sorry!

This definition seems fiddly and technical, but it's easier to visualize. The differential on page r is  $d_r^{pq} : E_r^{pq} \to E_r^{p-r+1,q+r}$ . Here's the picture:

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Page 1:  $d_1: E_1^{pq} \to E_1^{p,q+1}$ 



This definition seems fiddly and technical, but it's easier to visualize. The differential on page r is  $d_r^{pq} : E_r^{pq} \to E_r^{p-r+1,q+r}$ . Here's the picture:

Page 2:  $d_2: E_2^{pq} \to E_2^{p-1,q+2}$ 



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Page 3:  $d_3: E_3^{pq} \to E_3^{p-2,q+3}$ 



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Et cetera.

Why would this be a helpful thing to do?

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Page 0:



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Page 1:



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Page 3:


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Why would this be a helpful thing to do? Watch how "turning the pages" affects the differentials out of the indicated term " $\circ$ ":



We're ranging over all terms that are degree +1 from  $\circ$ .

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Recalling that  $(\text{Tot } E^{pq})^n = \bigoplus_{p+q=n} E^{pq}$ , intuitively, we're taking the " $\circ$ " term and mapping it to all the factors that  $d_{\text{Tot}}$  (also degree +1) does!

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By virtue of the fact that the cohomology of the differential  $d_r^{pq}$  defines the next page,  $E_{r+1}^{pq}$ , (a fact we glazed over when we just wrote a bunch of dots), intuitively, we're getting the cohomology of Tot  $E^{pq}$  by iteratively hacking away at the factors in the sum.

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(This is *certainly* just a loosey-goose vibes-only explanation at the moment, but it **can** be articulated in a precise way!)

What is that precise way?



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What is that precise way?

**Theorem.** There is a filtration of the nth cohomology of Tot  $E^{pq}$  by  $E^{pq}_{\infty}$  where p + q = n.

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What is that precise way?

**Theorem.** There is a filtration of the nth cohomology of Tot  $E^{pq}$  by  $E^{pq}_{\infty}$  where p + q = n.

But what do we mean by  $E_{\infty}^{pq}$ ? We only have  $E_r^{pq}$  for  $r \in \mathbb{Z}$ . The complete answer requires the notions of "convergence" which I am sweeping under the rug, but I will give the idea:

Suppose your spectral sequence is **first quadrant**; i.e.,  $E_r^{pq} = 0$  for all p, q < 0 and all r.

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Page 3:



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We call this term  $E_{\infty}^{pq}$ .

Outside of the first quadrant setting, convergence might be more delicate, but we won't worry too much about such examples here.

You should ask: what was the nonsense with the pre-subscript arrows  $_{\rightarrow}E_r^{pq}$  and  $_{\rightarrow}d_r^{pq}$  which we immediately forgot?

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It's to distinguish from the fact that everything we did was symmetric, so you just as easily could have the following definition:

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A spectral sequence (upward) is a sequence of double complexes  $({}_{\uparrow}E_r^{pq})_{r\in \mathbf{Z}}$ :

$${}^{\uparrow}E_0^{pq}, {}^{\uparrow}E_1^{pq}, {}^{\uparrow}E_2^{pq}, \dots$$

which we call **pages**.

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$${}_{\uparrow}d_r^{pq}:{}_{\uparrow}E_r^{pq}\to{}_{\uparrow}E_r^{p+r,q-r+1}$$

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$$d_r^{pq}: d_r^{pq} \to d_r^{pq} \to d_r^{p+r,q-r+1}$$

These  $d_r^{pq}$  maps identify  $d_r^{pq}$  with the cohomology of  $d_r^{pq}$  at  $E_r^{pq}$ ; that is,

$${}_{\uparrow}E^{pq}_{r+1} \cong \frac{\ker {}_{\uparrow}d^{pq}_r}{\operatorname{im} {}_{\uparrow}d^{p-r,q+r-1}_r}.$$

Note that the differential on page r is different! Now we have  $d_r^{pq}: E_r^{pq} \to E_r^{p+r,q-r+1}$ . Here's the new picture:

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Page 2:  $d_2: E_2^{pq} \to E_2^{p+2,q-1}$ 



Note that the differential on page r is different! Now we have  $d_r^{pq}: E_r^{pq} \to E_r^{p+r,q-r+1}$ . Here's the new picture:

Page 3:  $d_3: E_3^{pq} \to E_3^{p+3,q-2}$ 



Note that the differential on page r is different! Now we have  $d_r^{pq}: E_r^{pq} \to E_r^{p+r,q-r+1}$ . Here's the new picture:

Page 4:  $d_4: E_4^{pq} \to E_4^{p+4,q-3}$ 



Note that the differential on page r is different! Now we have  $d_r^{pq}: E_r^{pq} \to E_r^{p+r,q-r+1}$ . Here's the new picture:



Et cetera.

Here's the magic:



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This spectral sequence **also** produces a filtration of the *n*th cohomology of Tot  $E^{pq}$ ! We still chip away at the degree *n* cohomology by mapping +1 into the direct sum. This has to be the case – it was just symmetry!

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We can do really cool calculations by fiddling with the right spectral sequence versus the up spectral sequence. When entire pages degenerate down to nothing (i.e., say  $_{\rightarrow}E_{\infty}^{pq} = 0$ ), then the same must be true for  $_{\uparrow}E_{\infty}^{pq}$ !
## Core idea

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Here's the magic:

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Let me show you, with examples:

**Theorem.** Given a commutative diagram with exact rows



if  $\alpha$ ,  $\beta$ ,  $\delta$ , and  $\varepsilon$  are isomorphisms, then so too is  $\gamma$ .

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**Theorem.** Given a commutative diagram with exact rows



if  $\alpha$ ,  $\beta$ ,  $\delta$ , and  $\varepsilon$  are isomorphisms, then so too is  $\gamma$ .

(In fact, the weaker version of the five lemma also follows from this argument. For the sake of simplicity in presentation, an exercise for the reader.)

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Note: we **don't** actually care about the cohomology of the totalization of the double complex we just drew! Instead, we're just going to compare the two spectral sequences we have. They both converge to the cohomology of the total complex, but we don't care – we'll just use that they converge to the same thing.

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*Proof.* Start with the right spectral sequence.

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Page 0:

$$A' \longrightarrow B' \longrightarrow C' \longrightarrow D' \longrightarrow E'$$

 $A \longrightarrow B \longrightarrow C \longrightarrow D \longrightarrow E$ 

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Page 2:



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So far, anticlimactic, but let's see what happens with the up spectral sequence.

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Page 0:



A lot of these maps are isomorphisms, so page 1 will be nice.

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Page  $\infty$ :

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But these two spectral sequences are supposed to converge to the same thing.

But these two spectral sequences are supposed to converge to the same thing.

This forces coker  $\gamma = 0$  and ker  $\gamma = 0$ , so  $\gamma$  is an isomorphism, as desired!

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**Theorem.** Given a commutative diagram with exact rows



there is a long exact sequence



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*Proof.* The right spectral sequence:

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Page 0:



*Proof.* The right spectral sequence:

Page 0:



These rows are exact!

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*Proof.* The right spectral sequence:

Page 1:



These rows are exact!

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*Proof.* The right spectral sequence:

Page 1:



These rows are exact!

This therefore must be page  $\infty$ .

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*Proof.* The right spectral sequence:

Page  $\infty$ :

0	0	0	0	0
0	0	0	0	0

These rows are exact!

This therefore must be page  $\infty$ .

The up spectral sequence:

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Page 0:



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#### The up spectral sequence:

Page 0:



Take cohomology to get page 1.

#### The up spectral sequence:

Page 1:

$$0 \longrightarrow \operatorname{coker} \alpha \xrightarrow{\varphi'} \operatorname{coker} \beta \xrightarrow{\psi'} \operatorname{coker} \gamma \longrightarrow 0$$
$$0 \longrightarrow \ker \alpha \xrightarrow{\varphi} \ker \beta \xrightarrow{\psi} \ker \gamma \longrightarrow 0$$

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Take cohomology to get page 1.

Take cohomology to get page 2.

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#### The up spectral sequence:

Page 2:



Take cohomology to get page 1.

Take cohomology to get page 2.

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#### The up spectral sequence:

Page 2:



Take cohomology to get page 1.

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Everything other than the map  $\ker \varphi' \to \operatorname{coker} \psi$  stabilizes here. And then on page 3, everything stabilizes.
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Page 2:



Take cohomology to get page 1.

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Everything other than the map  $\ker \varphi' \to \operatorname{coker} \psi$  stabilizes here. And then on page 3, everything stabilizes.

Since  $_{\rightarrow}E_{\infty}^{pq} = 0$ , that means ker  $\varphi = H = H' = \operatorname{coker} \psi' = 0$ , and that means ker  $\varphi' \to \operatorname{coker} \psi$  must be an isomorphism.

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If ker  $\varphi = H = H' = \operatorname{coker} \psi' = 0$ , then, tracking back their definitions, this means

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If ker  $\varphi' \cong \operatorname{coker} \psi$ , then

$$\ker \varphi' = \ker(\operatorname{coker} \alpha \to \operatorname{coker} \beta) \text{ and}$$
$$\operatorname{coker} \psi = \operatorname{coker}(\ker \beta \to \ker \gamma).$$

This defines the snake morphism ker  $\gamma \to \operatorname{coker} \alpha$ .

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#### Theorem. The left-derived functors

 $\mathbf{L}_n(M\otimes_R -)(N)$ 

and

$$\mathbf{L}_n(-\otimes_R N)(M)$$

are isomorphic; we call both  $\operatorname{Tor}_n^R(M, N)$ .

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Ext is similar (but careful of the contravariance!); consider it a fun exercise.

*Proof.* Choose a projective resolution of M:

$$\cdots \rightarrow P_3 \rightarrow P_2 \rightarrow P_1 \rightarrow P_0 \rightarrow M \rightarrow 0$$

*Proof.* Choose a projective resolution of M:

$$\dots \to P_3 \to P_2 \to P_1 \to P_0 \to M \to 0$$

Choose a projective resolution of N:

$$\dots \to Q_3 \to Q_2 \to Q_1 \to Q_0 \to N \to 0$$

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Choose a projective resolution of N:

$$\dots \to Q_3 \to Q_2 \to Q_1 \to Q_0 \to N \to 0$$

Computing a left-derived functor involves (independent of P or Q)

$$\mathbf{L}_n(-\otimes N)(M) := h_n(P \otimes N)$$
$$\mathbf{L}_n(M \otimes -)(N) := h_n(M \otimes Q)$$

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*Proof.* Choose a projective resolution of M:

$$\cdots \to P_3 \to P_2 \to P_1 \to P_0 \to M \to 0$$

Choose a projective resolution of N:

$$\dots \to Q_3 \to Q_2 \to Q_1 \to Q_0 \to N \to 0$$

Computing a left-derived functor involves (independent of  ${\cal P}$  or Q)

$$\mathbf{L}_n(-\otimes N)(M) := h_n(P \otimes N)$$
$$\mathbf{L}_n(M \otimes -)(N) := h_n(M \otimes Q)$$

We want to show these are isomorphic.

Given two complexes P and Q, you can build the tensor double complex:



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Right spectral sequence:

Right spectral sequence:

Page 0:

 $0 \qquad 0 \qquad 0$  $\cdots \rightarrow P_2 \otimes Q_0 \rightarrow P_1 \otimes Q_0 \rightarrow P_0 \otimes Q_0 \rightarrow 0$  $\cdots \rightarrow P_2 \otimes Q_1 \rightarrow P_1 \otimes Q_1 \rightarrow P_0 \otimes Q_1 \rightarrow 0$  $\cdots \rightarrow P_2 \otimes Q_2 \rightarrow P_1 \otimes Q_2 \rightarrow P_0 \otimes Q_2 \rightarrow 0$ 

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Right spectral sequence:

Page 1:



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Right spectral sequence:

Page 2:



Right spectral sequence:

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Up spectral sequence:

Up spectral sequence:

Page 0:



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Up spectral sequence:

Page 1:



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Up spectral sequence:

Page 2:

	0	0	0	
	$h_2(P\otimes N)$	$h_1(P\otimes N)$	$h_0(P\otimes N)$	0
•••	0	0	0	0
	0	0	0	0
	÷	÷	÷	

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Up spectral sequence:

Page  $\infty$ :

	0	0	0	
•••	$h_2(P\otimes N)$	$h_1(P\otimes N)$	$h_0(P\otimes N)$	0
	0	0	0	0
	0	0	0	0
	÷	÷	÷	

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For  $_{\rightarrow}E_2^{pq} = _{\rightarrow}E_{\infty}^{pq}$ , the only term in total degree p + q = -n is  $h_n(M \otimes Q)$ . Thus the filtration of cohomology by page  $\infty$  is trivial and we get

$$h^{-n}(\operatorname{Tot} E^{pq}) \cong h_n(M \otimes Q) = \mathbf{L}_n(M \otimes -)(N).$$

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For  $\rightarrow E_2^{pq} = \rightarrow E_{\infty}^{pq}$ , the only term in total degree p + q = -n is  $h_n(M \otimes Q)$ . Thus the filtration of cohomology by page  $\infty$  is trivial and we get

$$h^{-n}(\operatorname{Tot} E^{pq}) \cong h_n(M \otimes Q) = \mathbf{L}_n(M \otimes -)(N).$$

But similarly, for  ${}_{\uparrow}E_2^{pq} = {}_{\uparrow}E_{\infty}^{pq}$ , the only term in total degree p+q = -n is  $h_n(P \otimes N)$ . Thus

$$h^{-n}(\operatorname{Tot} E^{pq}) \cong h_n(P \otimes N) = \mathbf{L}_n(-\otimes N)(M).$$

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For  $\rightarrow E_2^{pq} = \rightarrow E_{\infty}^{pq}$ , the only term in total degree p + q = -n is  $h_n(M \otimes Q)$ . Thus the filtration of cohomology by page  $\infty$  is trivial and we get

$$h^{-n}(\operatorname{Tot} E^{pq}) \cong h_n(M \otimes Q) = \mathbf{L}_n(M \otimes -)(N).$$

But similarly, for  ${}_{\uparrow}E_2^{pq} = {}_{\uparrow}E_{\infty}^{pq}$ , the only term in total degree p+q = -n is  $h_n(P \otimes N)$ . Thus

$$h^{-n}(\operatorname{Tot} E^{pq}) \cong h_n(P \otimes N) = \mathbf{L}_n(-\otimes N)(M).$$

By transitivity,  $\mathbf{L}_n(M \otimes -)(N) \cong \mathbf{L}_n(-\otimes N)(M)$ , as desired.  $\Box$ 

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**Theorem.** [Grothendieck] If F and G are left-exact functors and F sends injective objects to G-acyclic objects, then there is a spectral sequence whose page 2 is

$${}_{\rightarrow}E_2^{pq} = \mathbf{R}^q G(\mathbf{R}^p F(M))$$

which converges to  $\mathbf{R}^{p+q}(G \circ F)(M)$ .

# Composing derived functors Proof. Choose an injective resolution of M:

$$0 \to M \to I^0 \to I^1 \to I^2 \to I^3 \to \cdots$$

# Composing derived functors *Proof.* Choose an injective resolution of M:

$$0 \to M \to I^0 \to I^1 \to I^2 \to I^3 \to \cdots$$

Apply F termwise to I:

$$0 \to F(I^0) \to F(I^1) \to F(I^2) \to F(I^3) \to \cdots$$

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# Composing derived functors Proof. Choose an injective resolution of M:

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Now, take a Cartan-Eilenberg resolution J of the complex F(I),

then apply G termwise to J, to get the following double complex:  $\int_{\mathcal{A}_{\mathcal{A}}} duble duble complex$ 



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Let's calculate  $\uparrow E^{pq}$ .

Let's calculate  $\uparrow E^{pq}$ .

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Let's calculate  ${}_{\uparrow}E^{pq}$ .

Page 1:



Let's calculate  ${}_{\uparrow}E^{pq}$ .

Page 2:



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Let's calculate  $\uparrow E^{pq}$ .

Page  $\infty$ : Note that  $h^n(GF(I))$  is, by definition,  $\mathbf{R}^n(G \circ F)(M)$ .



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Thus, via the upward spectral sequence, we get

$${}_{\uparrow}E_2^{pq} = {}_{\uparrow}E_{\infty}^{pq} = \mathbf{R}^{p+q}(G \circ F)(M).$$

We claimed we could compare this to  $\mathbf{R}^{q}G(\mathbf{R}^{p}F(M))$ . We'll see this composition fall out of the right spectral sequence.

Let's calculate  $\rightarrow E^{pq}$ .

Let's calculate  $\rightarrow E^{pq}$ .

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$$\vdots \qquad \vdots \qquad \vdots \qquad \vdots \qquad 0 \rightarrow G(J^{02}) \rightarrow G(J^{12}) \rightarrow G(J^{22}) \rightarrow \cdots$$
$$0 \rightarrow G(J^{01}) \rightarrow G(J^{11}) \rightarrow G(J^{21}) \rightarrow \cdots$$
$$0 \rightarrow G(J^{00}) \rightarrow G(J^{10}) \rightarrow G(J^{20}) \rightarrow \cdots$$
$$0 \qquad 0 \qquad 0$$

Let's calculate  $_{\rightarrow}E^{pq}$ .

Page 1:

Let's calculate  $_{\rightarrow}E^{pq}$ .

Page 1:



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Let's calculate  $\rightarrow E^{pq}$ .

Page 2: (who cares about maps now!)

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We don't need to know about the maps anymore, because we've just learned that

 $_{\rightarrow}E_{2}^{pq}=h^{q}Gh^{p}F(I)$ 

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We don't need to know about the maps anymore, because we've just learned that

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We don't need to know about the maps anymore, because we've just learned that

Since  ${}_{\uparrow}E^{pq}$  and  ${}_{\rightarrow}E^{pq}$  converge to the same thing, and we already learned that  ${}_{\uparrow}E^{pq}_{\infty} = \mathbf{R}^{p+q}(G \circ F)(M)$ , we now know that

$$_{\rightarrow}E_2^{pq} = \mathbf{R}^q G(\mathbf{R}^p F(M))$$

converges to  $\mathbf{R}^{p+q}(G \circ F)(M)$ , as desired!